

# National Drug Treatment Monitoring System (NDTMS)

Young people's drug and alcohol secure settings business definitions (dataset Q)

V7.3

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## Introduction

We are the Office for Health Improvement and Disparities (OHID) in the Department of Health and Social Care. We have a database called the National Drug Treatment Monitoring System (NDTMS), which we use to collect information about drug and alcohol treatment in England.

The National Drug Treatment Monitoring System (NDTMS) data helps drug and alcohol treatment demonstrate the outcomes it achieves for the people it treats and in doing so aids accountability for the money invested in it. NDTMS is a national standard and is applicable to young people and adults within community and secure setting-based treatment providers. The dataset is accredited by NHS Digital and the Information Standard is published under section 250 of the Health and Social Care Act 2012.

This document defines the items to be collected and utilised by NDTMS.

This document contains definitions that are primarily applicable to use with young people who are receiving structured drug and alcohol treatment in secure settings.

See Appendix A for the definition of structured treatment. Young people's secure settings include secure children's homes, welfare only homes, young offender institutions (with populations under 18 years of age) and secure training centres. Information and definitions relating to data collection from adults and young people in the community and adults in the secure setting can be found here.

This document is intended to be a definitive and accessible source for use. It is not intended to be read from end to end, rather as a reference document which is utilised by a variety of readers, including:

- interpreters of data provided from OHID systems
- suppliers of systems to OHID
- suppliers of systems that interface to OHID systems
- OHID/National Drug Treatment Monitoring System (NDTMS) personnel

This document should not be used in isolation. It is part of a package of documents supporting the NDTMS dataset and reporting requirements.

Please read this document in conjunction with:

 NDTMS CSV File Format Specification – defines the format of the CSV file used as the primary means of inputting the core dataset into NDTMS

- NDTMS technical definitions provides the full list of fields that are required in the CSV file and the verification rules for each item
- NDTMS geographic information provides geographic information including DAT of residence and local authority codes
- NDTMS reference data provides permissible values for each data item

These definitions and guidance documents can be found on the <u>NDTMS.net website</u>.

To assist with the operational handling of CSV input files, each significant change to the NDTMS dataset is allocated a letter. The current version, commonly referred to as the NDTMS Core Dataset Q (CDS-Q) for national data collection, will come into effect on 1 April 2022.

NDTMS is a consented to dataset meaning that all young people should give informed and evidenced consent for their information to be shared with NDTMS. For further details, refer to NDTMS consent and confidentiality guidelines.

# **Purpose of NDTMS**

The data items contained in the NDTMS dataset are intended to:

- provide measurements that support the outcome and recovery focus of the government's drug strategy, such as:
  - proportion of clients successfully completing treatment
  - proportion of clients that do not return to treatment following a successful completion
  - value for money
  - housing and employment
  - health and quality of life outcomes
  - support for children and families of drug and alcohol dependent people
- provide information which can be used to monitor how effective drug and alcohol treatment services are and help to plan and develop services that better meet local needs
- produce statistics and support research about drug and alcohol use treatment
- provide measurements to support the Public Health Outcomes Framework

# **Data entities**

The NDTMS dataset consists of fields that are updateable (such as the young person's postcode and BBV information) and fields that should not change and should be completed as per the start of the episode (such as the young person's ethnicity). The <u>NDTMS dataset fields table</u> details for each data item the question, the definition and whether it is updateable during the episode of treatment or whether the information reported should be as per the start of the episode. In general, all data is required.

The data items listed in this document may be considered as belonging to 1 of 4 different sections, which are used throughout this document.

#### **Client details**

Details pertaining to the young person including initials, date of birth, sex, ethnicity and nationality.

#### **Episode details**

Details pertaining to the current episode of treatment including information gained on reception and at triage such as geographic information, problem substance/s, parent and child status, BBV, among others. A treatment episode includes time spent engaged in treatment at one secure setting, made up of one triage date and one discharge date but can (and in most circumstances will) include multiple treatment interventions. Multiple treatment episodes can be recorded at each setting at different times to record young people who may complete or drop out of treatment but re-present later in their stay.

#### **Treatment intervention details**

Details regarding which intervention/s the young person has received and the relevant start and end dates.

#### Young Person's Outcomes Profile

The YPOR should be completed at treatment start ideally by the first secure setting to receive the young person. It should be completed by the keyworker with the young person to review their substance use behaviour and health and social functioning in the 28 days prior to arrival in the setting.

### **NDTMS** dataset fields

Note: where items are designated as 'should not change' this does not include corrections or moving from a null in the field to it being populated.

#### 1. Client details

Field description	CSV header	Definition	Field updatability
Client ID	CLIENTID	<ul> <li>A mandatory, unique technical identifier representing the young person, as held on the clinical system used by the treatment provider.</li> <li>This should be a technical item and must not hold or be composed of attributors which might identify the young person.</li> <li>A possible implementation of this might be the row number of the young person in the client table.</li> </ul>	Must be completed. If not, the record will be rejected. This is populated by your software system. Should not change.
Initial of client's first name	FINITIAL	The first initial of the young person's first name eg Max would be 'M'. If a young person legally changes their name this should be updated on your system. This will create a mismatch at your next submission for which you should select 'replace' or 'delete'.	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode). If changed will create a validation mismatch.
Initial of client's surname	SINITIAL	The first initial of the young person's surname eg Smith would be 'S', O'Brian would be 'O' and McNeil would be 'M'. If a young person legally changes their name this should be updated on your system. This will create a mismatch at your next submission for which	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode). If changed will create a validation mismatch.

Field description	CSV header	Definition	Field updatability
		you should select 'replace' or 'delete'.	
Client birth date	DOB	The day, month and year that the young person was born.	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode). If changed will create a validation mismatch.
Client stated sex	SEX	The sex as stated by the client on their birth certificate or gender recognition certificate.	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode). If changed will create a validation mismatch.
Ethnicity	ETHNIC	The ethnicity that the young person states as defined in the Office of Population Censuses and Surveys (OPCS) categories. If a young person declines to answer, then 'not stated' should be used. If the young person does not know then 'Ethnicity is unknown' should be used.	Should not change (record as per start of episode).
Country of birth	NATION	Country of birth. Kosovo should be recorded as Serbia as per NHS data dictionary.	Should not change (record as per start of episode).
Agency code	AGNCY	A unique identifier for the treatment provider that is defined by the regional NDTMS team eg L0001.	Must be completed. If not, the record will be rejected. This is populated by your software system. Should not change. If changed file will fail on validation.
Client reference	CLIENT	A unique number or ID allocated by the treatment provider to a young person - this should be the NOMS ID if applicable.	Should not change and should be consistent across all episodes at

Field description	CSV header	Definition	Field updatability
		The client reference should remain the same within a treatment provider for a young person during all treatment episodes. This must not hold or be composed of attributors which might identify the young person.	the treatment provider.

#### 2. Episode details

Field description	CSV header	Definition	Field updatability
Episode ID	EPISODID	A mandatory, unique technical identifier representing the episode, as held on the clinical system used at the treatment provider. This should be a technical item and should not hold or be composed of attributors which might identify the individual.	Must be completed. If not, the record will be rejected. This is populated by your software system. Should not change.
Software system and version used	CMSID	A mandatory, system identifier representing the clinical system and version used at the provider.	Must be completed. If not, the record will be rejected. This is populated by your software system. May change (record as per current situation).
Consent for NDTMS	CONSENT	Whether the young person has agreed for their data to be shared with NDTMS. Informed and evidenced consent must be sought from all young people. For further information on obtaining NDTMS consent, see the <u>NDTMS consent and privacy notices</u> .	The young person must give consent before their information can be sent to NDTMS. May change (record as per current situation).
Postcode	PC	The postcode of the young person's place of residence prior to entering the secure setting. The postcode should be truncated by your system when extracted for NDTMS (the final 2 characters of the postcode should be removed, eg 'NR14 7UJ' would be truncated to 'NR14 7'). If a young person states that they are of no fixed abode or they are normally resident outside of the UK then the default postcode ZZ99 3VZ should be recorded (and truncated on extract).	Should not change (record as per prior to entry to the secure setting).

Field description	CSV header	Definition	Field updatability
DAT of residence	DAT	The partnership area in which the young person was residing prior to entering the secure setting (as defined by the postcode of their normal residence). If the young person is resident in Scotland, Wales, Northern Ireland, or outside of the UK record the code that reflects this. If a young person states that they are of no fixed abode (NFA) record the partnership (DAT) area where the young person was last NFA. See <u>NDTMS Geographic Information document for a list</u> of DAT codes.	Must be completed. If not, the record will be rejected. Should not change (record as per prior to entry to the secure setting).
Upper tier local authority	UTLA	<ul> <li>This field will be electronically mapped by software providers based on the postcode of the client. Treatment providers will not need to complete this field.</li> <li>The upper tier local authority (UTLA) in which the young person normally resides (as defined by the postcode of their normal residence).</li> <li>If the young person is resident in Scotland, Wales, Northern Ireland or outside of the UK record the code that reflects this.</li> <li>If a young person states that they are of no fixed abode (NFA) record the partnership UTLA area where the young person was last NFA.</li> <li>See NDTMS Geographic Information document for a list of UTLA codes.</li> </ul>	Should not change (record as per prior to entry to the secure setting).
Initial reception date	INTRCPTD	The date that the young person was received into the first secure setting where they began their current continuous period in the secure setting.	Must be completed. If not, the record will be rejected. Should not change.
Reception date	RECPDT	The date that the young person was received into the current secure setting.	Must be completed. If not, the record will be rejected.

Field description	CSV header	Definition	Field updatability
			Should not change.
Transferred from (other secure setting)	PRISON	The previous secure setting which the young person has transferred from into the current secure setting (if applicable). If this is the first secure setting the young person has entered during this custodial period this field should be left blank.	Should not change. Should be blank if the young person hasn't been transferred in.
Triage date	TRIAGED	The date that the young person made a first face-to-face (or equivalent) presentation to a substance misuse worker (this includes healthcare staff who initiated substance misuse treatment for the young person).	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode).
Pregnant	PREGNANT	Is the young person pregnant at triage?	Should not change (record as per start of episode).
Disability 1	DISABLE1	<ul> <li>Whether the client considers themselves to have a disability.</li> <li>If a client declines to answer, then 'not stated' should be entered and DISABLE2 and DISABLE3 should be left blank.</li> <li>If the client has no disability, then 'no disability' should be entered and DISABLE2 and DISABLE3 should be left blank.</li> <li>Refer to Appendix J for disability definitions.</li> </ul>	Should not change (record as per start of episode).
Disability 2	DISABLE2	Whether the client considers themselves to have a second disability. If the client has no second disability then this field should be left blank. Refer to to <u>Appendix J</u> for disability definitions.	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability
Disability 3	DISABLE3	Whether the client considers themselves to have a third disability. If the client has no third disability then this field should be left blank. Refer to to <u>Appendix J</u> for disability definitions.	Should not change (record as per start of episode).
Accommodation need	ACCMNEED	<ul> <li>The accommodation need of the young person prior to entering the secure setting.</li> <li>The accommodation need refers to the housing need of the young person in the 28 days prior to entering the secure setting. Services reporting the NDTMS young person's secure setting must use YP specific accommodation codes.</li> <li><u>Appendix B</u> describes the reference data for this item and the relevant definitions for young person's services.</li> </ul>	Should not change (record as per start of episode).
Parental responsibility	PARENT	In the 28 days prior to entering the secure setting did the young person have parental responsibility for a child aged under 18? A child is a person who is under 18 years of age. Parental responsibility should include biological parents, step-parents, foster parents, adoptive parents and guardians. It should also include de facto parents where a young person lives with the parent of a child or the child alone (eg a young person who cares for younger siblings) and has taken on full or partial parental responsibilities. Parental responsibility as used here is wider than the legal definition of parental responsibility.	Should not change (record as per start of episode).
If client has parental responsibility, do any of these	PRNTSTAT	If the young person has parental responsibility (PARENT = yes), record whether none of, some of or all of the children they are responsible for lived with the young	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability
children live with the client?		person for the majority of the time in the 28 days prior to them entering the secure setting. A child is a person who is under 18 years old. See <u>Appendix C</u> for data items and definitions.	
How many children under 18 in total live in the same house as the client?	CHILDWTH	The total number of children under 18 that lived in the same household as the young person at least one night a fortnight in the 28 days prior to entering the secure setting. The young person does not necessarily need to have parental responsibility for the children. Due to this being a numerical field, record code '98' as the response if the young person has declined to answer. For young people living in care this should be recorded as 0, unless the young person is living with other siblings. In this case the number of siblings should be recorded.	Should not change (record as per start of episode).
If client has parental responsibility and/or children living with them, what help are the children receiving? (1)	EHCSC	<ul> <li>Prior to entering the secure setting, what help are the young person's children and/or any other children living with the young person receiving? This question applies to the children aged under 18 for which the young person has parental responsibility (regardless of whether this child lives with the young person or not) and to children aged under 18 living with the young person (regardless of whether the young person has parental responsibility or not).</li> <li>If more than one option applies, then complete EHCSC2 and EHCSC3 as appropriate.</li> <li>If none of the children are receiving any help record 'None of the children are receiving any help' and leave EHCSC2 and EHCSC3 blank.</li> <li>If the young person declines to answer record 'client</li> </ul>	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability
		declined to answer' and leave EHCSC2 and EHCSC3 blank. See <u>Appendix C</u> for data items and definitions.	
If client has parental responsibility and/or children living with them, what help are the children receiving? (2)	EHCSC2	<ul> <li>Prior to entering the secure setting, what help are the young person's children and/or any other children living with the young person receiving?</li> <li>If more than two options apply, then complete EHCSC3 as appropriate.</li> <li>If the young person declines to answer or if no help is being received then this field should be left blank.</li> <li>See <u>Appendix C</u> for data items and definitions.</li> </ul>	Should not change (record as per start of episode).
If client has parental responsibility and/or children living with them, what help are the children receiving? (3)	EHCSC3	Prior to entering the secure setting, what help are the young person's children and/or any other children living with the young person receiving? If the young person declines to answer or if no help is being received, then this field should be left blank. See <u>Appendix C</u> for data items and definitions.	Should not change (record as per start of episode).
Problem substance number 1	DRUG1	The substance that brought the young person into treatment at the point of triage/initial assessment, even if they are no longer actively using this substance. If a young person presents with more than one substance the provider(s) is/are responsible for clinically deciding which substance is primary.	Must be completed. If not, the record will be rejected. Should not change (record as per start of episode).
Problem substance number 2	DRUG2	An additional substance that brought the young person into treatment at the point of triage/initial assessment, even if they are no longer actively using this substance. If no second problem substance then leave this field blank.	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability
Problem substance number 3	DRUG3	An additional substance that brought the young person into treatment at the point of triage/initial assessment, even if they are no longer actively using this substance. If no third problem substance then leave this field blank.	Should not change (record as per start of episode).
Injecting status	INJSTAT	<ul> <li>In the 28 days prior to entering the secure setting was the young person injecting?</li> <li>Record 'C - currently injecting' if the young person was injecting in the 28 days prior to entering the secure setting.</li> <li>Record 'P - previously injected' if the young person has previously injected but not in the 28 days prior to entering the secure setting.</li> <li>Record 'N - never injected' if the young person has never injected.</li> <li>Record 'Z - client declines to answer' if the young person declines to answer.</li> </ul>	Should not change (record as per start of episode).
AUDIT score (alcohol use disorders dentification test)	AUDIT	<ul> <li>What was the young person's AUDIT score on reception? This should be the young person's score on the <u>full</u></li> <li><u>AUDIT</u> completed during the initial healthcare screening and/or the substance misuse assessment. The score should be between 0 and 40. AUDIT-C scores should not be recorded here, only the full ten-question AUDIT score. If a full AUDIT has not been completed for the young person, leave this field blank.</li> <li>AUDIT scores should be recorded for all young people coming into contact with substance misuse treatment services, including those not requiring structured alcohol treatment but accessing treatment to address their drug misuse.</li> </ul>	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability	
Hep B intervention status	HEPBSTAT	<ul> <li>Whether the young person was offered a vaccination for hepatitis B within the current episode at the secure setting, and if that offer was accepted by the young person.</li> <li>For further information on recording BBV details, refer to the <u>Recording NDTMS data about blood-borne virus interventions document.</u></li> </ul>	May change (record as per current situation).	
Hep C intervention status	HEPCSTAT	Whether the young person was offered a test for hepatitis C within the current episode at the secure setting, and if that offer was accepted by the young person. For further information on recording BBV details, refer to the <u>Recording NDTMS data about blood-borne virus</u> <u>interventions document.</u>	May change (record as per current situation).	
Dual diagnosis	DUALDIAG	Does the young person have need of a mental health intervention for reasons other than substance misuse? See <u>Appendix H</u> for definitions.	Should not change (record as per start of episode).	
YP care status	YPLCS	<ul> <li>What was the care status of the young person in the 28 days prior to entering the secure setting?</li> <li>The term 'looked after children' is defined in law under the Children Act 1989.</li> <li>A child is looked after by a local authority if he or she is in their care or is provided with accommodation for more than 24 hours by the authority.</li> <li>For further details about the definitions of looked after child and child in need, <u>Appendix C</u>.</li> </ul>	Should not change (record as per start of episode).	
YP sexual exploitation	YPSSEXEX	Was the young person being sexually exploited in the 28 days prior to entering the secure setting?	This item should only be updated if sexual exploitation is disclosed during treatment.	

Field description	CSV header	Definition	Field updatability
		Young people may be reluctant to disclose that they are being sexually exploited when they start treatment. In order to get a true reflection, this item should be updated if sexual exploitation prior to entering the secure setting is disclosed during treatment. See <u>Appendix D</u> for further information.	
YP self-harm	YPSSLFHM	<ul> <li>Did the young person self-harm in the 28 days prior to entering the secure setting?</li> <li>Young people may be reluctant to disclose that they are self-harming when they start treatment. In order to get a true reflection, this item should be updated if self-harm prior to entering the secure setting is disclosed during treatment. If the young person disclosed self-harm at the start of the episode, this should not be updated even if they report that they are no longer self-harming. See <u>Appendix D</u> for further information.</li> </ul>	This item should only be updated if self-harm is disclosed during treatment.
YP education/ employment/ training status	YPSESTAT	What was the education status of the young person in the 28 days prior to entering the secure setting? See <u>Appendix E</u> for further information.	Should not change (record as per start of episode).
YP registered with GP	YPSGP	Was the young person registered with a General Practitioner in the 28 days prior to entering the secure setting?	Should not change (record as per start of episode).
YP engaged in unsafe sex	YPSUSS	Was the young person engaged in unsafe sex in the 28 days prior to entering the secure setting? See <u>Appendix D</u> for further information.	Should not change (record as per start of episode).

Field description	CSV header	Definition	Field updatability	
YP subject to a Child Protection Plan	YPCPL	Was the young person subject to a Child Protection Plan (CPP) in the 28 days prior to entering the secure setting? See <u>Appendix C</u> for further information.	Should not change (record as per start of episode).	
YP involved in gangs	YPGANGS	<ul> <li>Was the young person involved in gangs in the 28 days prior to entering the secure setting?</li> <li>A gang is a group of people (at least 3) with one or more characteristics that enable its members to be identified by others as a group and engages in gang-related violence or is involved in the illegal drug market.</li> <li>The young person may not necessarily be (or have been) a member of a gang but is associated with a gang (eg because of where they live or of a family connection) or they may feel that they are being targeted or coerced to join a gang. OHID is collecting this information as part of our wider monitoring of vulnerabilities amongst children receiving substance misuse treatment. Children involved with gangs are at a greater risk of gang violence and child criminal exploitation.</li> </ul>	Should not change (record as per start of episode).	
YP affected by child criminal exploitation		Was the young person affected by child criminal exploitation in the 28 days prior to entering the secure setting? Child criminal exploitation occurs where an individual or group takes advantage of an imbalance of power to coerce, control, manipulate or deceive a child or young person under the age of 18 into any criminal activity (a) in exchange for something the victim needs or wants, and/or (b) for the financial or other advantage of the perpetrator or facilitator and/or (c) through violence or the threat of violence. The victim may have been criminally exploited	Should not change (record as per start of episode).	

Field description	CSV header	Definition	Field updatability
		even if the activity appears consensual. Child criminal exploitation does not always involve physical contact; it can also occur through the use of technology.	
YP affected by substance misuse in their close family /members of their household	YPASMFM	Does the young person feel they were affected by substance misuse in their close family/members of their household in the 28 days prior to entering the secure setting?	Should not change (record as per start of episode).
Has the client ever been the victim of domestic abuse*?	DOMVIC	The Domestic Abuse Act 2021, for the first time, introduced a statutory definition for domestic abuse. The behaviour of one person towards another is considered domestic abuse if it is "abusive", and both are aged 16+ and are "personally connected" to one another, irrespective of where they live. The Act recognises children as victims if they "see, hear or otherwise experience the effects of abuse" and are related to either the abuser or abused. The term "Abusive" can refer to: physical or sexual abuse; violent or threatening behaviour; controlling or coercive behaviour and gaslighting; economic abuse; psychological abuse; female genital mutilation (FGM); 'honour-based' violence and more. Young people may be reluctant to disclose that they have been the victim of domestic abuse when they start treatment. In order to get a true reflection, this item should be updated if being the victim of domestic abuse prior to entering the secure setting is disclosed during treatment. If the young person disclosed being the victim	This item should be updated if being the victim of domestic abuse prior to entering the secure setting is disclosed during treatment.

Field description	CSV header	Definition	Field updatability
		<ul> <li>of domestic abuse at the start of the episode, this should not be updated even if they report that they are no longer the victim of domestic abuse.</li> <li>Record 'Not appropriate to ask' if you are not alone, there is a language barrier or staff are not confident to ask this question etc.</li> </ul>	
Has the client ever abused* someone close to them?	DOMPER	<ul> <li>The Domestic Abuse Act 2021, for the first time, introduced a statutory definition for domestic abuse. The behaviour of one person towards another is considered domestic abuse if it is "abusive", and both are aged 16+ and are "personally connected" to one another, irrespective of where they live. The Act recognises children as victims if they "see, hear or otherwise experience the effects of abuse" and are related to either the abuser or abused. The term "Abusive" can refer to: physical or sexual abuse; violent or threatening behaviour; controlling or coercive behaviour and gaslighting; economic abuse; psychological abuse; female genital mutilation (FGM); 'honour-based' violence and more.</li> <li>Young people may be reluctant to disclose that they have ever abused someone close to them when they start treatment. In order to get a true reflection, this item should be updated if the young person discloses, during treatment, that they have ever abused someone close to them prior to entering the secure setting. If the young person disclosed having ever abused someone close to them at the start of the episode, this should not be</li> </ul>	This item should be updated if being the victim of domestic abuse prior to entering the secure setting is disclosed during treatment.

Field description	CSV header	Definition	Field updatability
		updated even if they report that they are no longer abusing someone close to them.	
		Record 'Not appropriate to ask' if you are not alone, there is a language barrier or staff are not confident to ask this question etc.	
Discharge date	DISD	The date that the young person stopped receiving structured treatment in the secure setting (even if they remain in the same secure setting). If a young person has had a planned discharge from treatment, then the date agreed within this plan should be used. If a young person's discharge was unplanned then the date of the last face-to-face (or equivalent) contact with the treatment provider should be used. If a young person is discharged from treatment and then re-presents for further treatment at a later date, the expectation is that the young person should be reassessed, and a new episode created with a new triage date. If this proves burdensome, we can accept the reopening of the young person's previous episode (by removing discharge date and discharge reason) as long as the gap between discharge from the old episode and re-presentation is less than 21 calendar days. In this scenario, the previous interventions should remain closed and new interventions should be opened.	Discharge date required when young person is discharged from treatment. Prior to discharge all interventions must have end dates. If discharge date is populated then discharge reason must also be populated. Should only change from 'null' to populated as episode progresses.
Discharge reason	DISRSN	The reason why the young person's episode of structured treatment was ended. For discharge codes and definitions see <u>Appendix F</u> .	Discharge reason required when young person is discharged from treatment. Prior to discharge all interventions must have end dates. If

Field description	CSV header	Definition	Field updatability
			discharge reason is populated then discharge date must also be populated. Should only change from 'null' to populated as episode progresses.
Has the client been provided with Reconnect support?	RECONNECT	The care after custody service, RECONNECT, starts working with people before they leave prison and helps them to make the transition to community-based health services. This field should be populated if RECONNECT support is available.	Should not change (record as per release from secure setting).
Is the client threatened with homelessness in the 56 days (8 weeks) following exit from secure setting?	HOMELESSEXIT	Homelessness Reduction Act 2017 places a duty on housing authorities to work with people who are threatened with homelessness within 56 days to help prevent them from becoming homeless. This field should be populated if the client's exit reason is 'released'.	Required when the young person leaves the secure setting and exit reason is released. Should not change (record as per release from secure setting).
Secure setting exit date	EXITD	The date that the young person left the secure setting (or died).	Secure setting exit date required when the young person exits current secure setting. Prior to exit all episodes must have discharge dates and discharge reasons. If exit date is populated exit reason must also be populated. Should only change from 'null' to populated as episode progresses.
Secure setting exit reason	EXITRSN	The reason that the young person left the secure setting. For detailed definitions see <u>Appendix F</u> .	Secure setting exit reason required when young person exits current

Field description	CSV header	Definition	Field updatability
			secure setting. Prior to exit all episodes must have discharge dates and discharge reasons. If exit reason is populated exit date must also be populated. Should only change from 'null' to populated as episode progresses.
Secure setting exit destination	EXITDEST	<ul> <li>The partnership area to which the young person was released or the secure setting that the young person was transferred to.</li> <li>Use 'outside UK' option if young person is deported or leaving the country on release.</li> <li>For any services that do not report to NDTMS (eg secure hospitals) record 'non NDTMS reporting secure setting'. <u>See NDTMS Geographic Information document for a list of DAT codes.</u></li> </ul>	Required if secure setting exit date is populated and exit reason is recorded as 'transferred', or if the young person is 'released' and referred to a structured treatment service or youth offending team, then the treatment service partnership/local authority should be recorded. Should not change (record as per exit from secure setting).
Referral on release status	RTOAGNCY	If the reason for the exit from the secure setting is 'released', record whether a referral was made to youth offending team or to a structured treatment provider in the community, or to both a youth offending team and a structured treatment provider, or if no onward referral was made for the young person.	Required when the young person leaves the secure setting and exit reason is released. Should not change (record as per release from secure setting).

#### 3. Treatment intervention details

Field description	CSV header	Definition	Field updatability
Intervention ID	MODID	A mandatory, unique technical identifier representing the intervention, as held on the clinical system used at the treatment provider. This should be a technical item and should not hold or be composed of attributors which might identify the individual.	Must be completed. If not, the record will be rejected. This is populated by your software system. Should not change.
Treatment intervention	MODAL	<ul> <li>The treatment intervention a young person has been referred for/commenced within this treatment episode as defined in <u>Appendix G</u> of this document. There are different interventions for adults and young people.</li> <li>A young person may have more than one treatment intervention running sequentially or concurrently within an episode.</li> </ul>	Required as soon as the intervention is known. Should not change (record as per intervention start). If changed, it will create a validation mismatch.
Intervention start date	MODST	The date the treatment intervention commenced eg the date the young person attended their first appointment.	Required when young person starts an intervention. Should only change from 'null' to populated as episode progresses.
Intervention end date	MODEND	The date that the stated treatment intervention ended. If the intervention has had a planned end, then the date agreed within the plan should be used. If it was unplanned then the date of last face-to-face (or equivalent) contact date within the intervention should be used.	Required when young person completes an intervention or is discharged. Should only change from 'null' to populated as episode progresses.

#### 4. Young People's Outcome Record (YPOR)

Field description	CSV header	Definition	Field updatability
YPOR ID	TOPID	A mandatory, unique technical identifier representing the YPOR, as held on the clinical system used at the treatment provider. This should be a technical item and should not hold or be composed of attributors which might identify the young person.	Must be completed if any items in this section (YPOR) are not null. If not, the record will be rejected. This field is populated by your software system. Should not change.
Young Person's Outcomes Record (YPOR) date	TOPDATE	Date of the YPOR. This should be on or up to 2 weeks after the young person's initial reception into the establishment. All outcomes data should reflect the 28 days prior to their entry into the secure setting. See <u>Appendix I</u> for recording outcomes information.	Not expected to change (record as per YPOR date). If changed, it will create a validation mismatch.
Alcohol use	ALCUSE	Number of days in the 28 days prior to entering the secure setting that the young person consumed alcohol.	Should not change (record as per YPOR date).
Consumption (alcohol)	CONSMP	Typical number of alcohol units consumed on a drinking day in the 28 days prior to entering the secure setting.	Should not change (record as per YPOR date).
Opiate use	OPIUSE	Number of days in the 28 days prior to entering the secure setting that the young person used opiates.	Should not change (record as per YPOR date).
Crack use	CRAUSE	Number of days in the 28 days prior to entering the secure setting that the young person used crack.	Should not change (record as per YPOR date).
Cocaine use	COCAUSE	Number of days in the 28 days prior to entering the secure setting that the young person used powder cocaine.	Should not change (record as per YPOR date).
Amphetamine use	AMPHUSE	Number of days in the 28 days prior to entering the secure setting that the young person used amphetamines.	Should not change (record as per YPOR date).

Field description	CSV header	Definition	Field updatability
Cannabis use	CANNUSE	Number of days in the 28 days prior to entering the secure setting that the young person used cannabis.	Should not change (record as per YPOR date).
Cannabis average use per day	CAUSPD	Typical number of grams of cannabis used on a typical using day in the 28 days prior to entering the secure setting.	Should not change (record as per YPOR date).
Other substance use	OTDRGUSE	Number of days in the 28 days prior to entering the secure setting that the young person used other problem drugs that are not listed on the YPOR form.	Should not change (record as per YPOR date).
Other substance 2 use	OTHR2YP	Number of days in the 28 days prior to entering the secure setting that the young person used a second other problem drug that is not listed on the YPOR form.	Should not change (record as per YPOR date).
Other substance 3 use	OTHR3YP	Number of days in the 28 days prior to entering the secure setting that the young person used a third other problem drug that is not listed on the YPOR form.	Should not change (record as per YPOR date).
Unsuitable housing	UNSTHSE	Was the young person living in unsuitable housing in the 28 days prior to entering the secure setting? Unsuitable housing includes accommodation that may be overcrowded, damp, inadequately heated, in poor condition or in a poor state of repair. Unsuitable housing is likely to have a negative impact on health and wellbeing and/or on the likelihood of achieving recovery.	Should not change (record as per YPOR date).
Tobacco/nicotine	TOANIC	Number of days in the 28 days prior to entering the secure setting that the young person smoked tobacco/nicotine.	Should not change (record as per YPOR date).
Ecstasy	ECSTSYYP	Number of days in the 28 days prior to entering the secure setting that the young person used ecstasy.	Should not change (record as per YPOR date).
Solvents	SOLVYP	Number of days in the 28 days prior to entering the secure	Should not change (record as per

Field description	CSV header	Definition	Field updatability
		setting that the young person used solvents.	YPOR date).
Ketamine	KETAMNYP	Number of days in the 28 days prior to entering the secure setting that the young person used ketamine.	Should not change (record as per YPOR date).
GHB	GHBYP	Number of days in the 28 days prior to entering the secure setting that the young person used GHB.	Should not change (record as per YPOR date).
Psychoactive substances (PS)	LEHIGSYP	Number of days in the 28 days prior to entering the secure setting that the young person used psychoactive substances (PS).	Should not change (record as per YPOR date).
Tranquilisers (including benzodiazepines)	TRANYP	Number of days in the 28 days prior to entering the secure setting that the young person used tranquilisers (including benzodiazepines).	Should not change (record as per YPOR date).
Age substance first used: cannabis	AFUCAN	What age did the young person first use cannabis? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).
Age substance first used: alcohol	AFUALC	What age did the young person first ever consume alcohol? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).
Age substance first used: tobacco/nicotine	AFUTOBN	What age did the young person first ever use tobacco/nicotine? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).
Age substance first used: opiates (illicit)	AFUOOL	What age did the young person first ever use opiates? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).
Age substance first used: crack	AFUCRACK	What age did the young person first ever use crack? If substance has never been used record 0. Only collected at	Should not change (record as per YPOR date).

Field description	CSV header	Definition	Field updatability	
		Start YPOR.		
Age substance first used: cocaine	AFUCOC	What age did the young person first ever use powder cocaine? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: ecstasy	AFUEST	What age did the young person first ever use ecstasy? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: amphetamines	AFUAMP	What age did the young person first ever use amphetamines? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: solvents	AFUSLV	What age did the young person first ever use solvents? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: ketamine	AFUKET	What age did the young person first ever use ketamine? If substance has never been used record 0. Only collected atShould not chan YPOR date).Start YPOR.		
Age substance first used: GHB	AFUGHB	What age did the young person first ever use GHB? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: psychoactive substances (PS)	AFULHU	What age did the young person first ever use psychoactive substances (PS)? If substance has never been used record 0. Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Age substance first used: tranquilisers	AFUTQL	What age did the young person first ever use tranquilisers (including benzodiazepines)? If substance has never beenShould not chan YPOR date).		

Field description CSV header		Definition	Field updatability	
(including benzodiazepines)		used record 0. Only collected at Start YPOR.		
Alcohol use – binge drinking	AAUSFWK	In the 28 days prior to entering the secure setting, did the young person drink more than 8 units of alcohol (males) or more than 6 units of alcohol (females) in a single drinking episode?	Should not change (record as per YPOR date).	
Ever injected	LINSTUS	Has the young person ever injected a substance? Only collected at Start YPOR.	Should not change (record as per YPOR date).	
Current injecting drug use	YPIVDRGU	Did the young person inject a substance in the 28 days prior to entering the secure setting?	Should not change (record as per YPOR date).	
Alc using behaviour: On a weekday during daytime	PTEDAWDD	In the 28 days prior to entering the secure setting, did the young person consume alcohol on a weekday during the YPOR date). daytime?		
Alc using behaviour: On a weekday during the evening	PTEDAWDE	In the 28 days prior to entering the secure setting, did the young person consume alcohol on a weekday during the evening?		
Alc using behaviour: On a weekend during the daytime	PTEDAWED	In the 28 days prior to entering the secure setting, did the young person consume alcohol on a weekend during the daytime?		
Alc using behaviour: On a weekend during the evening	PTEDAWEE	In the 28 days prior to entering the secure setting, did the young person consume alcohol on a weekend during the evening?		
Alc using behaviour: On their own	PTEDOYO	In the 28 days prior to entering the secure setting, did the young person consume alcohol on their own? Should not change (record as p		
Substance using	PTEUSWDD	In the 28 days prior to entering the secure setting, did the Should not change (record		

Field description CSV header		Definition	Field updatability	
behaviour: On a weekday during daytime		young person use substances (excluding tobacco) on a weekday during the daytime?	YPOR date).	
Substance using behaviour: On a weekday during evening	PTEUSWDE	In the 28 days prior to entering the secure setting, did the young person use substances (excluding tobacco) on a weekday during the evening?	Should not change (record as per YPOR date).	
Substance using behaviour: On a weekend during daytime	PTEUSWED	In the 28 days prior to entering the secure setting, did the young person use substances (excluding tobacco) on a weekend during the daytime?	Should not change (record as per YPOR date).	
Substance using behaviour: On a weekend during evening	PTEUSWEE	In the 28 days prior to entering the secure setting, did the young person use substances (excluding tobacco) on a weekend during the evening?	Should not change (record as per YPOR date).	
Substance using behaviour: On their own	PTEUSOYO	In the 28 days prior to entering the secure setting, did the young person use any substances (excluding tobacco) on their own?		
Life satisfaction	LISREDYS	How satisfied is the young person with life today? Should not change (re YPOR date).		
Anxiety	ANSTS	How anxious did the young person feel yesterday? Should not change (re YPOR date).		
Happiness	HAPSTYS	How happy did the young person feel yesterday? Should not change (record a YPOR date).		
How well does YP	RATEFAM	How well does the young person get on with their family? Should not change (record		

Field description	CSV header	Definition	Field updatability
get on with family?			YPOR date).
How well does YP get on with friends?	RATEFRI	How well does the young person get on with their friends?	Should not change (record as per YPOR date).

# Appendix A: definition of specialist substance misuse treatment for young people

Treatment providers should be delivering specialist treatment interventions for young people in secure settings. The definition that has been agreed across government departments, and should be used in this context, is that young people's specialist substance misuse treatment is a care-planned medical, psychosocial or specialist harm reduction aimed at alleviating current harm caused by a young person's substance misuse.

Universal, targeted or early intervention substance misuse activity for young people should not be reported to NDTMS. Any treatment providers providing universal, targeted and/or early intervention services for substance misuse should ensure they report only substance misuse activity for young people receiving specialist treatment to NDTMS.

Young people's structured specialist substance misuse treatment interventions require additional competencies for the worker and delivery within a governance framework including appropriate supervision.

# Appendix B: accommodation need guidance for young people's services

The young person's accommodation need should be reported as per the situation in the 28 days prior to entering the secure setting.

The codes specific to the young person's dataset are defined below:

Code	Reference data	Definition	
26	YP living with relative	The young person is living with parents, relatives or other carers.	
27	Independent YP – settled accommodation	The young person is living in accommodation without the support of their family of origin (birth/adopted). The young person could be living in their own property, or in privately rented accommodation.	
28	Independent YP – unsettled accommodation	The young person is staying with friends or family as a short-term guest, residing in a bed and breakfast or hostel accommodation. Young people who are at risk of losing their long-term accommodation could also be categorised as living in unsettled accommodation.	
29	Independent YP with No Fixed Abode	The young person is living on the streets or using night hostels (on a night-by-night basis). This could also include young people who are staying with friends or family as a very short-term guest eg sleeping on a different friend's floor each night.	
31	YP supported housing	The young person is living in accommodation specifically commissioned to meet the needs of young people. The young person could be living in a foyer or other accommodation provided by a registered social landlord.	
33	YP living in care	The young person has been placed in care, such as children's homes or foster care for a looked after child.	

# **Appendix C: safeguarding definitions**

These questions should be answered as per the situation of the young person in the 28 days prior to entering the secure setting.

# If client has parental responsibility, do any of these children live with the client? (PRNTSTAT)

The question only needs to be completed if the response to PARENT is 'yes'.

Code	Reference data	Definition
11	All the children live with client	The young person has parental responsibility for one or more children and in the 28 days prior to them entering the secure setting all the young person's children lived with them the majority of the time.
12	Some of the children live with client	The young person has parental responsibility for children and in the 28 days prior to entering the secure setting some of the young person's children with them for the majority of the time, others lived in other locations for the majority of the time.
13	None of the children live with client	The young person has parental responsibility for one or more children but in the 28 days prior to them entering the secure setting none of the young person's children lived with them, they all live in other locations for the majority of the time.
15	Client declined to answer	Only use where the young person declines to answer.

# What help are the client's children and/or any other children living with the client receiving? (EHCSC1/2/3)

If either parental responsibility is 'yes' or there are children under the age of 18 living in the same house as the young person, then this field should be completed.

This question applies to children of the young person in treatment (regardless of whether this child lives with the young person or not) and to children living with the young person (regardless of whether this is the child of the young person or not).

Code	Reference data	Definition	
1	Early Help (family support)	The needs of the child and family have been assessed and they are receiving targeted Early Help services as defined by <u>Working Together to</u> <u>Safeguard Children 2015 (HM Government)</u>	
2	Child in Need (LA service)	The needs of the child and family have been assessed by a social worker and services are being provided by the local authority under <u>Section 17 of</u> <u>the Children Act 1989</u>	
3	Has a Child Protection Plan (LA service)	Social worker has led enquiries under <u>Section 47 of</u> <u>the Children Act 1989</u> . A child protection conference has determined that the child remains at continuing risk of 'significant harm' and a multi- agency child protection plan has been formulated to protect the child	
4	Looked after Child (LA service)	Arrangements for the child have been determined following statutory intervention and care proceedings under the <u>Children Act 1989</u> . Looked after children may be placed with parents, foster carers (including relatives and friends), in children's homes, in secure accommodation or with prospective adopters	
5	None of the children are receiving any help	None of the children are receiving early help nor are they in contact with children's social care	
6	Other relevant child or family support service	Any other child or family support service not mentioned.	
7	Not known	-	
99	Client declined to answer Question was asked but the young person dec to answer.		

#### Care status prior to entering the secure setting (YPLCS)

The question should be answered in relation to the young person's care status prior to entering the secure setting.

A young person may have a care status of either a 'looked after child' or a 'child in need'. A young person may be subject to a child protection plan regardless of their care status.

Code	Reference data	Definition
1	Looked after child	The definition of a looked after child is:
		"Children looked after includes all children being looked after by a local authority including those subject to care orders under <u>Section 31 of the Children Act 1989</u> and those looked after on a voluntary basis through an agreement with their parents under <u>Section 20 of the Children Act 1989</u> ". <u>See The Children Act</u> <u>1989</u> .
		Looked after children fall into 4 main groups:
		<ul> <li>children who are accommodated under voluntary agreement with their parents (<u>Section 20 of the Children Act</u> <u>1989</u>)</li> </ul>
		<ul> <li>children who are the subject of a care order or interim care order, (<u>Section 31 of the Children Act 1989</u> and <u>Section 38</u> of the Children Act 1989)</li> </ul>
		<ul> <li>children who are the subject of emergency orders for their protection, (<u>Section 44 of the The Children Act 1989</u> and <u>Section 46 of the The Children Act 1989</u>)</li> </ul>
		<ul> <li>children who are compulsorily accommodated – this includes children remanded to the local authority or subject to a criminal justice supervision order with a residence requirement, (<u>Section 21 of the The Children Act 1989</u>)</li> </ul>
		All young people remanded by the court into the young people's secure setting will have 'looked after child' status for the duration of the remand. This ceases on release or sentence. (If a young person is remanded for more than 13 weeks this entitles them to leaving care support on release).
3	Child in need	Under <u>Section 17 of the The Children Act 1989</u> a child is a 'child in need' if:
		<ul> <li>he/she is unlikely to achieve or maintain, or have the opportunity of achieving or maintaining, a reasonable standard of health or development without the provision for him/her of services by a local authority</li> </ul>
		his/her health or development is likely to be significantly

Code	Reference data	Definition
		<ul> <li>impaired, or further impaired, without the provision for him/her of such services</li> <li>he/she is a disabled child</li> <li>These legislative definitions may be summarised into the following categories of children in need: <ul> <li>significant harm: children who have suffered significant harm</li> </ul> </li> <li>disabled children: children with physical disabilities, sensory disabilities, learning disabilities or emotional and behavioural disabilities</li> <li>parental illness/disability: alcohol or drug misusing parents, acutely ill parents (short term), chronically disabled parents, chronically mentally ill parents, children assuming responsibility for chronically ill, addicted, or disabled parents</li> <li>family in acute stress: homeless family, unsupported single parent, death of carer</li> <li>family dysfunction: domestic violence, inconsistent parenting, family breakdown</li> <li>socially unacceptable behaviour: disorderly behaviour, offending, truancy, unsafe sexual behaviour</li> <li>low income: asylum seeking families, non-habitually resident status, independent young people</li> <li>absent parenting: parents died, unaccompanied child asylum seekers, children privately fostered</li> <li>other: step-parent adoptions, inter country adoptions, court reports, subject access to files, historical allegations/complaints</li> </ul>
2	Not a looked after child or a child in need	-

## Subject to a Child Protection Plan prior to entering the secure setting (YPCPL)

A child protection plan is a formal plan developed by the local authority confirming intentions for a child's protection. The initial child protection conference is responsible for agreeing a child protection plan for any child with or without a care status. For further information, refer to <u>Working Together to Safeguard Children</u>.

## **Appendix D: risk/vulnerabilities**

These items are collected to determine what wider vulnerabilities the young person may have been experiencing prior to entering the secure setting alongside their substance misuse.

#### **Sexual exploitation**

For the purposes of NDTMS, sexual exploitation is defined as follows: 'Child sexual exploitation is a form of child sexual abuse. It occurs where an individual or group takes advantage of an imbalance of power to coerce, manipulate or deceive a child or young person under the age of 18 into sexual activity (a) in exchange for something the victim needs or wants, and/or (b) for the financial advantage or increased status of the perpetrator or facilitator. The victim may have been sexually exploited even if the sexual activity appears consensual. Child sexual exploitation does not always involve physical contact; it can also occur through the use of technology.' See <u>Safeguarding children from sexual exploitation</u> for more information.

Young people may be reluctant to disclose that they are being/have been sexually exploited when they start treatment. In order to get a true reflection, this item should be updated if sexual exploitation prior to entering the secure setting is disclosed during treatment. If the young person disclosed being sexually exploited at the start of the episode, this should not be updated even if they report that they no longer are.

#### Self-harm

For the purposes of NDTMS, self-harm is defined as 'self-poisoning or self-injury, irrespective of the apparent purpose of the act'.

A young person may be reluctant to disclose that they are self-harming when they start treatment. In order to get a true reflection, this item should be updated if self-harm prior to entering the secure setting is disclosed during treatment.

#### Unsafe sex

For the purposes of NDTMS, this refers to a young person's current engagement in unsafe sex or unprotected sex. Unsafe sex is sexual activity engaged in without precautions to protect against sexually transmitted infections eg not using condoms, either with a regular or casual partner, having multiple sexual partners and anal sex.

#### **Domestic abuse**

This will include any negative effect to the young person, whether they have been a victim of abuse or witnessed it. An abuse case does not have to have gone to court to be included in this question. Please be aware that in the under 16s, law denotes that this is termed child abuse. However for ease, this question has used just one terminology (domestic abuse) – this question should be asked of all young people in treatment.

For the purposes of NDTMS, domestic abuse is defined as: 'Any incident or pattern of incidents of controlling, coercive or threatening behaviour, violence or abuse between those who are or have been intimate partners or family members regardless of gender or sexuality. This can encompass, but is not limited to, the following types of abuse: psychological, physical, sexual, financial and emotional'.

Controlling behaviour is defined as 'a range of acts designed to make a person subordinate and/or dependent by isolating them from sources of support, exploiting their resources and capacities for personal gain, depriving them of the means needed for independence, resistance and escape and regulating their everyday behaviour'.

Coercive behaviour is defined as 'an act or a pattern of acts of assault, threats, humiliation and intimidation or other abuse that is used to harm, punish, or frighten their victim.'

This definition, which is not a legal definition, includes so called 'honour' based violence, female genital mutilation (FGM) and forced marriage, and is clear that victims are not confined to one gender or ethnic group'. See <u>Violence against women and girls</u>.

# Appendix E: education, employment and training status

This question should be answered as per the situation of the young person in the 28 days prior to entering the secure setting.

Code	Reference data	Definition
1	Mainstream education	Includes schooling delivered in academies and further education colleges.
2	Alternative education	Includes schooling delivered within a pupil referral unit or home setting.
3	Temporarily excluded	Young people who were excluded from school on a temporary basis for a fixed term (no more than 45 days a year).
4	Permanently excluded	Young people who were excluded from school but alternative schooling arrangements had not yet been made.
5	Persistent absentee	Young people who were regularly absent from school without authorisation from a teacher or other authorised representative of the school.
6	Apprenticeship or training	Young people who were undertaking a structured training programme such as National Vocational Qualifications (NVQ) or key skills qualifications including BTEC or City & Guilds certificates.
10	Economically inactive caring role	Young people who were not employed/in education because they had a role within the home as a parent or carer which prevented them from working or studying.
11	Economically inactive health issue	Young people who were not employed/in education because they had a health or mental health issue which prevented them from working or studying.

Code	Reference data	Definition	
12	Voluntary work	Young people who were carrying out non-paid voluntary work, full or part time.	
13	Regular employment	School leavers (of school leaving age+ only) who were in regular and sustained employment.	
14	Not in employment or education or training (NEET)	Young people who have the capacity to work but were not in education, employment or training.	
Z	Client declined to answer	-	

## Appendix F: discharge reason and exit reason definitions

Below are the current discharge reasons and their definitions:

Code	Reference data	Definition
80	Treatment completed – drug free	The young person no longer requires structured drug (or alcohol) treatment interventions and is judged by the clinician not to be using heroin (or any other opioid, prescribed or otherwise) or crack cocaine or any other illicit drug.
82	Treatment completed – occasional user (not opiates or crack)	The young person no longer requires structured drug or alcohol treatment interventions and is judged by the clinician not to be using heroin (or any other opioid, prescribed or otherwise) or crack cocaine. There is evidence of use of other illicit drug or alcohol use but this is not judged to be problematic or to require treatment.
83	Transferred – not in custody	The young person has finished treatment at this provider but still requires further structured drug and/or alcohol treatment interventions and the individual has been referred to an alternative non- secure setting provider for this. This code should only be used if there is an appropriate referral path and care-planned structured drug/alcohol treatment pathways are available.
84	Transferred – in custody	The young person is being transferred to another secure setting and a continuation of structured treatment has been arranged. This will consist of the appropriate onward referral of care planning information and 2-way communication between the referring and the receiving secure setting treatment providers to ensure that an assessment and care- planned treatment will be provided as appropriate.
74	Transferred – recommissioning transfer	The young person has been transferred for further structured drug and/or alcohol treatment as a result of the service being decommissioned.
71	Incomplete - onward referral offered and refused	The young person has finished treatment at this secure setting provider but still requires further structured drug and/or alcohol treatment interventions. A referral to another secure setting provider or a community provider was offered but the

Code	Reference data	Definition	
		young person refused the transfer.	
85	Incomplete – dropped out	The treatment provider has lost contact with the young person without a planned discharge and activities to re-engage the young person back into treatment have not been successful.	
86	Incomplete – treatment withdrawn by provider	The treatment provider has withdrawn treatment provision from the young person eg in cases where the young person has seriously breached a contract leading to their discharge. It should not be used if the young person has simply 'dropped out'.	
88	Incomplete – treatment commencement declined by the client	The treatment provider has received a referral and has had a face-to-face (or equivalent) contact with the young person after which the young person has chosen not to commence a recommended structured drug treatment intervention.	
98	Incomplete – deported	Without completing their episode of structured treatment, the young person has been deported to another country.	
99	Incomplete – released from court	The treatment provider has been unable to continue the young person's treatment due to them being released from court.	
89	Incomplete – client died During their time in contact with structured treat the young person died.		

#### **Discharging clients as 'transferred'**

When a discharge reason of 'transferred' is selected, the expectation is that there should be 2way communication between the transferring provider and the receiving provider to ensure continuity of the young person's care. If the young person commences a structured treatment intervention at the receiving provider within 21 days of their discharge date from the transferring provider, then NDTMS count this as a successful transfer and the young person continues their treatment within the same treatment journey.

If they do not start a structured treatment intervention elsewhere within 21 days of their discharge date, they will be recorded as an unsuccessful transfer at the provider level and their treatment journey will end. If the young person should re-present for treatment after more than 21 days, then they will be deemed to have started a new treatment journey.

#### Secure setting exit reasons

Below are the current secure setting exit reasons and their definitions:

Code	Data item name	Definition
Т	Transferred	The young person has been transferred to another secure setting.
R	Released	The young person is no longer in a secure setting and has been released.
A	Absconded	The young person has escaped from the secure setting without permission.
D	Died	During their time in the secure setting the young person has died.

## **Appendix G: definitions of interventions**

Young people under the age of 18 must be able to access each of the young people's specialist substance misuse treatment interventions described below. Interventions include social and health care interventions, all of which are important and complement each other in reducing harm caused by a young person's substance misuse.

Psychosocial interventions are structured treatment interventions that encompass a wide range of actions. Key working is the basic delivery mechanism for a range of key components including the review of care plans and goals, provision of substance related advice and information, interventions to increase motivation and prevent relapse and help to address social problems, eg peer relationships, family relationships and education. In addition, a range of formal psychosocial interventions may be provided by key workers or others with the appropriate competences.

Formal psychosocial interventions may be provided alone or in combination with other interventions and should be targeted at addressing assessed need. They may be provided:

- to treat substance misuse including alcohol or co-occurring mental health disorders
- alone or in addition to harm reduction or pharmacological interventions

Formal psychosocial interventions should be provided in accordance with <u>Drug Misuse and</u> <u>Dependence: UK guidelines on clinical management</u> (also known as the 'clinical guidelines' or 'orange book') and relevant NICE clinical guidelines.

The type of psychosocial intervention should be selected on the basis of the problem and treatment need of the specific young person guided by the available evidence base on effectiveness.

Definitions of the secure setting interventions for young people are provided below:

#### Specialist pharmacological intervention

These are substance misuse specific pharmacological interventions, which include prescribing for detoxification, stabilisation and symptomatic relief of substance misuse as well as prescribing of medications to prevent relapse.

The intervention start is the date of dispensing the first dose of medication.

#### Counselling

Counselling is a process in which a counsellor holds face-to-face (or equivalent) talks with the young person to help him or her solve a problem, or help improve the young person's attitude and/or behaviour towards substance misuse.

#### **Cognitive behavioural therapy**

Cognitive behavioural therapy is a psychotherapeutic, talking therapy that aims to solve problems concerning dysfunctional emotions, behaviours and cognitions through a goal oriented, systematic procedure.

#### **Motivational interviewing**

Motivational interviewing is a brief psychotherapeutic intervention. The aim is to help the young person reflect on their substance use in the context of their own values and goals and motivate them to change.

#### **Relapse prevention**

Relapse prevention CBT focuses on helping young people to develop skills to identify situations or states where they are most vulnerable to drug use, to avoid high-risk situations, and to use a range of cognitive and behavioural strategies to cope more effectively with these situations.

#### Family work

Interventions using psychosocial methods to support parents, carers and other family members to manage the impact of a young person's substance misuse and enable them to better support the young person eg working with siblings, grandparents, foster carers.

Family work should only be reported to NDTMS if and when a young person who is a member of the family receiving family work, is currently accessing specialist substance misuse treatment services and should be reported using the young person's attributors.

The intervention start is the date of the first formal and time-limited appointment.

#### YP harm reduction service (specialist)

Care-planned substance misuse specific harm reduction is not brief advice and information. This intervention must be delivered as part of a structured care plan and after a full assessment of the young person's substance misuse and risks.

Specialist harm reduction interventions should include services to manage those at risk of, or currently involved in:

- injecting these treatment services could include needle exchange, advice and information on injecting practice, access to appropriate testing and treatment for blood borne viruses
- overdose advice and information to prevent overdose, especially overdose associated with poly-substance use, which requires specialist knowledge about substances and their interactions
- risky behaviour associated with substance use advice and information to prevent and/or reduce substance misuse related injuries and substance misuse related risky behaviours

The intervention start is the date of the first appointment where specialist harm reduction interventions were provided.

### **Appendix H: dual diagnosis**

#### Data item

"Does the client have need of a mental health intervention for reasons other than substance misuse?"

#### Data item definition

Identification of the need for a current or future mental health intervention could be based on information obtained from community services eg GP, community mental health service or could be a need newly identified by healthcare staff in the secure setting.

Where and when the intervention is delivered will depend on the level of need, the time the young person spends in the secure setting and/or access to appropriate services.

The mental health intervention can include a range of evidence-based interventions delivered according to individual needs and provided by the child and adolescent mental health services (CAMHS). It can also include interventions to support children who have experienced violence, abuse and other adverse childhood experiences.

The need for specific mental health support/intervention may be clear even if it may not involve diagnosis of a specific mental disorder, or a particular structured specialist mental health intervention required.

## Appendix I: recording outcomes profiles in YP secure settings

The Young People's Outcomes Record (YPOR) is used as a national outcomes monitoring tool for young people receiving substance misuse treatment. It consists of a simple set of questions that can aid improvements in clinical practice by enhancing assessment and care plan reviews. It can also help to ensure that each young person's recovery care plan identifies and addresses his or her needs and treatment goals.

There are 3 different areas covered by the YPOR – substance use, substance risk behaviours and health and social functioning. The latter includes information on psychological health, housing and relationships with family and friends.

All establishments should record a YPOR for any young person who has entered the secure setting and has been assessed by a substance misuse worker. The YPOR should be completed within 2 weeks of initial reception, ideally when the young person is being assessed for their treatment need. This may be on the date of initial reception into the secure setting or shortly thereafter.

The YPOR should reflect the 28 days before entering the secure setting. This will provide a baseline record of behaviour in the month leading up to the young person entering the secure setting and commencement of a new secure setting treatment journey. If a young person has transferred from another establishment and was assessed in that establishment, a YPOR does not need to be completed by the receiving establishment – the transferring establishment should already have completed the YPOR. If a young person is assessed more than 2 weeks after initial reception eg because they chose not to engage with treatment when they first came into the secure setting, a YPOR does not need to be completed. This is because it will not be possible to robustly capture behaviour in the 28 days before entering the secure setting.

The YPOR should be used for all structured substance misuse clients entering the children's and young person's secure setting. All questions on the forms should be answered; zero should be recorded where the young person does not use that particular substance and NA used when the question has not been answered.

By collecting YPOR information at secure setting entry, NDTMS will be able to monitor treatment outcomes post-release and across a young person's entire treatment journey eg from secure setting treatment to community treatment and at treatment completion.

### **Appendix J: disability definitions**

Code	Reference data	Definition	
1	Behaviour and emotional	Should be used where the client has times when they lack control over their feelings or actions	
2	Hearing	Should be used where the client has difficulty hearing, or needs hearing aids, or needs to lip-read what people say	
3	Manual dexterity	Should be used where the client has difficulty performing tasks with their hands	
4	Learning disability Should be used where the client has difficulty with memory or ability to concentrate, learn or understand whic began before the age of 18		
5	Mobility and gross motor	Should be used where the client has difficulty getting around physically without assistance or needs aids like wheelchairs or walking frames; or where the client has difficulty controlling how their arms, legs or head move	
6	Perception of physical danger	Should be used where the client has difficulty understanding that some things, places or situations can be dangerous and could lead to a risk of injury or harm	
7	Personal, self-care and continence Should be used where the client has difficulty keeping clean and dressing they would like to		
8	Progressive conditions and physical health Should be used where the client has illness which affects what they can be which is making them more ill, which getting worse, and which is going to continue getting worse eg HIV, can multiple sclerosis, fits etc		
9	Sight	Should be used where the client has difficulty seeing signs or things printed on paper or seeing things at a distance	
10	Speech         Should be used where the client has difficulty speaking or using language to communicate or make their needs know		

Code	Reference data	Definition
11	Special educational needs	Should be used where the client has learning, physical, and developmental disabilities; behavioural, emotional and communication disorders; learning deficiencies
XX	Other	Should be used where the client has any other important health issue including dementia or autism
NN	No disability	-
ZZ	Not stated	Client asked but declined to provide a response

## **Revision history**

Version	Author	Change
7.3	J Palmer	PREGNANT - removed "applies to female clients only"
7.2	A Rimell	Updates made since V7.1 Format of the document changed to Department of Health and Social Care (DHSC) standard. Changes to text to reflect the transition of NDTMS from Public Health England (PHE) to the Office of Health Improvement and Disparities (OHID). Minor corrections to the text and updates to hyperlinks. General updates to improve accessibility.
7.1	P Brand	Updates made since V7.0 RECONNECT – text update to definition 'This field should be populated if RECONNECT support is available' and to field updatability 'Should not change (record as per release from secure setting).'
		HOMELESSEXIT – text update to definition 'This field should be populated if the client's exit status is 'released'' and to field updatability 'Required when the young person leaves the secure setting and exit reason is released. Should not change (record as per release from secure setting).'
7.0	P Brand W Richardson	CDS-Q New headers DISABLE1/DISABLE2/DISABLE3 – Disability 1/Disability 2/ Disability 3 DOMVIC – Has the client ever been the victim of domestic abuse? DOMPER – Has the client ever abused someone close to them? RECONNECT – Has the client been provided with Reconnect support? HOMELESSEXIT – Is the client threatened with homelessness in the 56 days (8 weeks) following exit from secure estate? Dropped headers YPEBABDA – YP ever been affected by domestic abuse TRSTAGE – Treatment stage Amendments
		SEX – field description changed to 'client stated sex' from 'client stated sex at registration of birth' PRNTSTAT – field description changed to 'If client has parental responsibility, do any of these children live with the client?' from 'Do any of these children live with the client?' EHCSC (1)(2)(3) – field description changed to 'If client has parental responsibility and/or children living with them, what help

Version	Author	Change
		<ul> <li>are the children receiving?' from 'What help are the client's children/ children living with the client receiving?'</li> <li>LEHIGSYP – field description changed to 'Psychoactive substances (PS)' from 'New Psychoactive Substances (NPS)</li> <li>AFULHU – field description changed to 'Age substance first used: psychoactive substances (PS)' from 'Age substance first used: new psychoactive substances (NPS)'</li> <li>SEX – field definition changed to 'The sex as stated by the client on their birth certificate or gender recognition certificate.'</li> <li>CONSENT – field definition changed to 'Whether the young person has agreed for their data to be shared with NDTMS. Informed and evidenced consent must be sought from all young people. For further information on obtaining NDTMS consent, see NDTMS consent and confidentiality guidelines.'</li> <li>EHCSC (1)(2)(3) - field definition changed to 'Prior to entering the secure estate, what help are the young person's children and/or any other children living with the young person receiving? This question applies to the children aged under 18 for which the young person has parental responsibility (regardless of whether this child lives with the young person (regardless of whether the young person has parental responsibility or not).'</li> </ul>